

Section 02316

EXCAVATION AND BACKFILL FOR STRUCTURES

PART 1 GENERAL

1.01 SUMMARY

This Section includes excavation, backfilling, and compaction of backfill for structures.

1.02 MEASUREMENT AND PAYMENT

A. Unit Prices.

1. No payment will be made for structural excavation and backfill under this Section. Include payment in unit price or lump sum for construction of structures.
2. Refer to Section 01270 - "Measurement and Payment" for unit price procedures.

B. Stipulated Price (Lump Sum). If Contract is Stipulated Price Contract, payment for Work in this Section is included in total Stipulated Price.

1.03 REFERENCES

- A. ASTM D698 - Standard Test Methods for Laboratory Compaction of Soil Using Standard Effort (12,400 ft-lb/ft³ (600kN-m/m³)).
- B. ASTM D1556/D1556M - Standard Test Method for Density and Unit Weight of Soil in Place by Sand-Cone Method.
- C. ASTM D6938 - Standard Test Methods for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depths).
- D. ASTM D4318 - Standard Test Method for Liquid Limit, Plastic Limit, and Plasticity Index of Soils.
- E. TxDOT Tex-101-E - Preparing Soil and Flexible Base Materials for Testing.
- F. TxDOT Tex-110-E - Particle Size Analysis of Soils.
- G. Federal Regulations, 29 CFR, Part 1926, Standards - Excavation, Occupational Safety and Health Administration (OSHA).
- H. ASTM D2487 - Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)

1.04 SUBMITTALS

- A. Conform to requirements of Section 01330 - “Submittal Procedures”.
- B. Submit Work plan for excavation and backfill for each structure with complete written description which identifies details of proposed method of construction and sequence of operations for construction relative to excavation and backfill activities. Use descriptions, with supporting illustrations, sufficiently detailed to demonstrate to Project Manager that procedures meet requirements of Specifications and Plans.
- C. Submit excavation safety system plan.
 - 1. Submit excavation safety system plan in accordance with applicable OSHA requirements for excavations.
 - 2. Submit excavation safety system plan in accordance with requirements of Section 02260 - “Trench Safety System”, for excavations that fall under State and Federal trench safety laws.
- D. Submit ground and surface water control plan in accordance with requirements in this Section and Section 01578 - “Control of Ground Water and Surface Water”.
- E. Submit backfill material sources and product quality information in accordance with requirements of Section 02320 - “Utility Backfill Materials”.
- F. Submit project record documents under provisions of Section 01785 - “Project Record Documents”. Record location of utilities, as installed, referenced to survey benchmarks. Include location of utilities encountered or rerouted. Give horizontal dimensions, elevations, inverts and gradients.

1.05 RELATED REQUIREMENTS

- A. Section 01270 – “Measurement and Payment”
- B. Section 01330 – “Submittal Procedures”
- C. Section 01454 – “Testing Laboratory Services”
- D. Section 01555 – “Traffic Control and Regulation”
- E. Section 01562 – “Tree and Plant Protection”
- F. Section 01576 – “Waste Material Disposal”
- G. Section 01578 – “Control of Ground Water and Surface Water”
- H. Section 01785 – “Project Record Documents”

- I. Section 02221 – “Removing Existing Pavements and Structures”
 - J. Section 02260 – “Trench Safety System”
 - K. Section 02319 – “Borrow”
 - L. Section 02320 – “Utility Backfill Materials”
 - M. Section 02321 – “Cement Stabilized Sand”
 - N. Section 02621 – “Geotextile”
- 1.06 QUALITY ASSURANCE
- A. Tests
 - 1. Testing and analysis of backfill materials for soil classification and compaction during construction shall be performed by an independent laboratory in accordance with requirements of Section 01454 - “Testing Laboratory Services” and as specified in this Section.
 - 2. Perform embedment and backfill material source qualification testing in accordance with requirements of Section 02320- “Utility Backfill Materials”.
- 1.07 – 1.09 NOT USED
- 1.10 DEFINITIONS
- A. Unsuitable Material: Unsuitable soil materials are the following:
 - 1. Materials that are classified as ML, CL-ML, MH, PT, OH, and OL according to ASTM D2487.
 - 2. Materials that cannot be compacted to required density due to gradation, plasticity, or moisture content.
 - 3. Materials that contain large clods, aggregates, stones greater than 4 inches in any dimension, debris, vegetation, waste or any other deleterious materials.
 - 4. Materials that are contaminated with hydrocarbons or other chemical contaminants.
 - B. Suitable Material: Suitable soil materials are those meeting specification requirements. Unsuitable soils meeting specification requirements for suitable soils after treatment with lime or cement shall be considered suitable, unless otherwise indicated.
 - C. Select Material: Material as defined in Section 02320 - “Utility Backfill Materials”.

- D. Backfill: Material meeting specified quality requirements, placed and compacted under controlled conditions around structures.
- E. Foundation Backfill Materials: Natural soil or manufactured aggregate meeting Class I requirements and geotextile filter fabrics as required, to control drainage and material separation. Foundation backfill material is placed and compacted as backfill where needed to provide stable support for structure foundation base. Foundation backfill materials may include concrete fill and seal slabs.
- F. Foundation Base: For foundation base material, use crushed stone aggregate with filter fabric as required, cement stabilized sand, or concrete seal slab. Foundation base provides smooth, level working surface for construction of concrete foundation.
- G. Foundation Subgrade: Foundation subgrade is surface of natural soil which has been excavated and prepared to support foundation base or foundation backfill, where needed.
- H. Ground Water Control Systems: Installations external to excavation such as well points, eductors, or deep wells. Ground water control includes dewatering to lower ground water, intercepting seepage which would otherwise emerge from side or bottom of excavation, and depressurization to prevent failure or heaving of excavation bottom. Refer to Section 01578 - Control of Ground Water and Surface Water.
- I. Surface Water Control: Diversion and drainage of surface water runoff and rain water away from excavation. Remove rain water and surface water which accidentally enters excavation as part of excavation drainage.
- J. Excavation Drainage: Removal of surface and seepage water in excavation by sump pumping and using French drains surrounding foundation to intercept water.
- K. Over-Excavation and Backfill: Excavation of subgrade soils with unsatisfactory bearing capacity or composed of otherwise unsuitable materials below foundation as shown on Plans, and backfilled with foundation backfill material.
- L. Shoring System: Structure that supports sides of an excavation to maintain stable soil conditions and prevent cave-ins.

1.11 – 1.13 NOT USED

PART 2 PRODUCTS

2.01 MANUFACTURER(S) (NOT USED)

2.02 MATERIALS AND/OR EQUIPMENT

- A. Perform excavation with equipment suitable for achieving requirements of this Specification.

- B. Use equipment which shall produce degree of compaction specified. Compact backfill within 3 feet of walls with hand operated equipment. Do not use equipment weighing more than 10,000 pounds closer to walls than a horizontal distance equal to depth of fill at that time. Use hand operated power compaction equipment where use of heavier equipment is impractical or restricted due to weight limitations.

2.03 FABRICATION (NOT USED)

2.04 SOURCE QUALITY CONTROL

A. Material Classifications

Use backfill materials conforming to classifications and product descriptions of Section 02320 - "Utility Backfill Materials". Use classification or product description for backfill applications as shown on Plans and as specified.

PART 3 EXECUTION

3.01 GENERAL / MANUFACTURER(S) (NOT USED)

3.02 PREPARATION

- A. Conduct an inspection to determine condition of existing structures and other permanent installations.
- B. Set up necessary street detours and barricades in preparation for excavation if construction will affect traffic. Conform to requirements of Section 01555 - "Traffic Control and Regulation". Maintain barricades and warning devices at all times for streets and intersections where Work is in progress, or where affected by Work, and is considered hazardous to traffic movements.
- C. Perform Work in accordance with OSHA standards. Employ an excavation safety system as specified in Section 02260 - "Trench Safety Systems".
- D. Remove existing pavements and structures, including sidewalks and driveways, in accordance with requirements of Section 02221 - "Removing Existing Pavements and Structures".
- E. Install and operate necessary dewatering and surface water control measures in accordance with requirements of Section 01578 - "Control of Ground Water and Surface Water".

3.03 ERECTION/INSTALLATION APPLICATION AND/OR CONSTRUCTION

A. Excavation

- 1. Perform excavation Work so that underground structure can be installed to depths and alignments shown on Plans. Use caution during excavation work to

avoid disturbing surrounding ground and existing facilities and improvements. Keep excavation to absolute minimum necessary. No additional payment shall be made for excess excavation not authorized by Project Manager.

2. Upon discovery of unknown utilities, badly deteriorated utilities not designated for removal, or concealed conditions, discontinue Work at that location. Notify Project Manager and obtain instructions before proceeding in such areas.
3. Immediately notify agency or company owning any line which is damaged, broken, or disturbed. Obtain approval from Project Manager and agency for any repairs or relocations, either temporary or permanent.
4. Avoid settlement of surrounding soil due to equipment operations, excavation procedures, vibration, dewatering, or other construction methods.
5. Provide surface drainage during construction to protect Work and to avoid nuisance to adjoining property. Where required, provide proper dewatering and piezometric pressure control during construction.
6. Conduct hauling operations so that trucks and other vehicles do not create dirt nuisance in streets. Verify that truck beds are sufficiently tight and loaded in such a manner such that objectionable materials shall not spill onto streets. Promptly clear away any dirt, mud, or other materials that spill onto streets or are deposited onto streets by vehicle tires.
7. Maintain permanent benchmarks, monumentation, and other reference points. Unless otherwise directed, replace those which are damaged or destroyed by Work.
8. Provide sheeting, shoring, and bracing where required to safely complete Work, to prevent excavation from extending beyond limits indicated on Plans, and to protect Work and adjacent structures or improvements. Use sheeting, shoring, and bracing to protect workmen and public conforming to requirements of Section 02260 - "Trench Safety Systems".
9. Prevent voids from forming outside of sheeting. Immediately fill voids with grout, cement stabilized sand, or other material approved by Project Manager and compact to 95 percent standard density.
10. After completion of structure, remove sheeting, shoring, and bracing unless shown on Plans to remain in place or directed by Project Manager in writing that such temporary structures may remain. Remove sheeting, shoring and bracing in such a manner as to maintain safety during backfilling operations and to prevent damage to Work and adjacent structures or improvements.

11. Immediately fill and compact voids left or caused by removal of sheeting with cement stabilized sand or other material approved by Project Manager and compact to 95 percent standard density.

B. Handling Excavated Materials

1. Classify excavated materials. Place material which is suitable for use as backfill in orderly piles at sufficient distance from excavation to prevent slides or cave-ins.
2. Provide additional backfill material in accordance with requirements of Section 02319 -“Borrow”, if adequate quantities of suitable material are not available from excavation and trenching operations at site.

C. Dewatering

1. Provide ground water control per Section 01578 - “Control of Ground Water and Surface Water”.
2. Keep ground water surface elevation minimum of 2 feet below bottom of foundation base.
3. Maintain ground water control as directed by Section 01578 - “Control of Ground Water and Surface Water” and until structure is sufficiently complete to provide required weight to resist hydrostatic uplift with minimum safety factor of 1.2.

D. Foundation Excavation

1. Notify Project Manager at least 48 hours prior to planned completion of foundation excavations. Do not place foundation base until excavation is accepted by Project Manager.
2. Excavate to elevations shown on Plans, as needed to provide space for foundation base, forming level undisturbed surface, free of mud or soft material. Remove pockets of soft or otherwise unstable soils and replace with foundation backfill material or material as directed by Project Manager. Prior to placing material over it, recompact sub grade where indicated on Plans, scarifying as needed, to 95 percent of maximum Standard Dry Density according to ASTM D 698. If specified level of compaction cannot be achieved, moisture condition subgrade and recompact until 95 percent is achieved, over-excavate to provide minimum layer of 24 inches of foundation backfill material, or other means acceptable to Project Manager.
3. Fill unauthorized excessive excavation with foundation backfill material or other material as directed by Project Manager.

4. Protect open excavations from rainfall, runoff, freezing groundwater, or excessive drying so as to maintain foundation subgrade in satisfactory, undisturbed condition. Keep excavations free of standing water and completely free of water during concrete placement.
 5. Remove soils which become unsuitable due to inadequate dewatering or other causes, after initial excavation to required subgrade, and replace with foundation backfill material, as directed by Project Manager, at no additional cost.
 6. Place foundation base, or foundation backfill material where needed, over subgrade on same day that excavation is completed to final grade. Where base of excavations are left open for longer periods, protect them with seal slab or cement-stabilized sand.
 7. Use filter fabric as specified in Section 02621 - "Geotextile" to separate crushed aggregate, and other free draining Class I materials from native soils or select material backfill. Overlap fabric minimum of 12 inches beyond where another material stops contact with soil.
 8. Place crushed aggregate, and other Class I materials, in uniform layers of 8-inch maximum thickness. Perform compaction by means of at least two passes of vibratory compactor.
- E. Foundation Base
1. Place foundation base after sub grade is properly prepared, including placement of foundation backfill where needed. Use foundation base consisting of 12-inch layer of crushed stone aggregate or cement stabilized sand. Alternately, seal slab with minimum thickness of 4 inches may be placed. Extend foundation base minimum of 12 inches beyond edge of structure foundation, unless shown otherwise on Plans.
 2. Where foundation base and foundation backfill are of same material, both can be placed in one operation.
- F. Backfill
1. Complete backfill to surface of natural ground or to lines and grades shown on Plans. Remove forms, lumber, trash and debris from structures.
 - a. Unless otherwise shown on Plans, for structures under pavement or within one foot back of curb, use cement stabilized sand up to pavement base or subgrade.

- b. Unless otherwise shown on Plans, for structures not under pavement, use cement stabilized sand to within 2 feet of final grade. Use random backfill of suitable material for top two feet.
 2. Do not place backfill against concrete walls or similar structures, until laboratory test breaks indicate that concrete has reached minimum of 85 percent of specified compressive strength. Where walls are supported by slabs or intermediate walls, do not begin backfill operations until slab or intermediate walls have been placed and concrete has attained sufficient strength.
 3. Remove concrete forms before starting backfill and remove shoring and bracing as Work progresses.
 4. Maintain backfill material at no less than 2 percent below nor more than 2 percent above optimum moisture content, unless otherwise approved by Project Manager. Place fill material in uniform 8-inch maximum loose layers. Compact fill to at least 95 percent of maximum Standard Proctor Density according to ASTM D698 below paved areas. Compact fill to at least 95 percent around structures below unpaved areas.
 5. Where backfill is placed against sloped excavation surface, run compaction equipment across boundary of cut slope and backfill to form compacted slope surface for placement of next layer of backfill.
 6. Place backfill using cement stabilized sand in accordance with Section 02321 - "Cement Stabilized Sand".
- G. Disposal of Excess Material
- Dispose of excess materials in accordance with requirements of Section 01576 - "Waste Material Disposal".
- 3.04 REPAIR/RESTORATION (NOT USED)
- 3.05 FIELD QUALITY CONTROL
- A. Testing shall be performed under provisions of Section 01454 - "Testing Laboratory Services".
 - B. Tests shall be performed initially on minimum of one different sample of each material type for plasticity characteristics, in accordance with ASTM D4318, and for gradation characteristics, in accordance with Tex-101-E and Tex-110-E. Additional classification tests shall be performed whenever there is noticeable change in material gradation or plasticity.

- C. In-place density tests of compacted sub grade and backfill shall be performed according to ASTM D1556/D1556M, or ASTM D6938, and at following frequencies and conditions:
 - 1. Minimum of one test for every 50 to 100 cubic yards of compacted backfill material as directed by Project Manager.
 - 2. A minimum of three density tests for each full work shift.
 - 3. Density tests shall be performed in all placement areas.
 - 4. Number of tests will be increased when inspection determines that soil types or moisture contents are not uniform or when compacting effort is variable and not considered sufficient to attain uniform density.
 - 5. Identify elevation of test with respect to natural ground.
 - 6. Record approximate depth of lift tested.
- D. At least one test for moisture-density relationships shall be initially performed for each type of backfill material in accordance with ASTM D698. Perform additional moisture-density relationship test once a month or whenever there is noticeable change in material gradation or plasticity.
- E. When tests indicate Work does not meet specified compaction requirements, recondition, recompact, and retest at Contractor's expense.

3.06 PROTECTION

- A. Protect trees, shrubs, lawns, existing structures, and other permanent objects outside of grading limits and within grading limits as designated on Plans, and in accordance with requirements of Section 01562 - "Tree and Plant Protection".
- B. Protect and support above-grade and below-grade utilities which are to remain.
- C. Restore damaged permanent facilities to pre-construction conditions unless replacement or abandonment of facilities is indicated on Plans.
- D. Prevent erosion of excavations and backfill. Do not allow water to pond in excavations.
- E. Maintain excavation and backfill areas until start of subsequent Work. Repair and recompact slides, washouts, settlements, or areas with loss of density at no additional cost.

END OF SECTION